#### REMARKS

## I. Status of Claims and Objections

Claims 12-19, 20-22, 25 and 28-32 are pending. Claims 12-19 are under examination. Claims 20-22, 25 and 28-32 are withdrawn. Applicants thank the Examiner for rejoining group III and note their right to rejoinder of remaining method claims 20-22, 25 and 28-32 upon allowance of the claims 12-19 from which they depend. Applicants maintain their traversal of the restriction requirement on the grounds that it would not be an undue burden to examine all of the claims together.

The claims have been amended to correct informalities, remove multiple dependency, and to include sequence identifiers. All amendments made herein are without prejudice to Applicants' right to pursue claims of original or similar scope in a duly filed continuing application.

#### II. Rejection under 35 U.S.C. §101

The claims have amended to recite "isolated" polynucleotides, which obviates the rejection under 35 U.S.C. §101.

#### III. Rejection under 35 U.S.C. §112, second paragraph

Applicants submit that the amended claims are clear and the rejection may be withdrawn.

#### IV. Rejection under 35 U.S.C. §112, first paragraph

Enablement

Claims 12-16 were rejected under 35 U.S.C. §112, first paragraph, for assertedly lacking enablement for TCRs comprising CDRs having up to three replacement residues relative to the CDR sequences recited in the claims. Applicants respectfully traverse.

Application No.: 10/581,773 Docket No.: 28646/42100

Applicants submit that the skilled person could readily identify CDR sequences with up to three variations having the desired properties without undue experimentation. Such sequence modifications are well known in the art and have been carried out for a number of different polypeptides. A person of skill in the art can take a wild-type TCR molecule and introduce random mutations into the CDRs using a mutagenesis experiment (e.g. phage display or yeast display), and select for the sequences which retain their TCR recognition specificity and have a greater affinity for the target antigen of interest (HLA-A2/RMFPNAPYL complex as recited in claims 12-14) than the wild-type molecule. In other words, the framework sequence remains intact, but a few amino acid sequence modifications have been introduced to enhance TCR affinity.

As evidence that this would not be an undue burden, Applicants enclose the document Li et al., *Nature Biotechnology*, 23(3):349 (2005) (Exhibit A), which discloses the directed evolution of high-affinity TCRs specific for two different peptide-human leukocyte antigen complexes. Clearly, using the methods described by Li et al, the skilled person would be able to mutagenise the TCR sequences listed in the claims, and arrive at a TCR molecule with greater affinity for HLA-A2/RFMPNAPYL complex without undue experimentation. Thus, the claims are enabled.

#### Written description

Claims 12-14 were rejected under 35 U.S.C. §112, first paragraph, for assertedly lacking written description for TCRs that bind to the genus of cancer antigens. In response, Applicants have amended the claims as suggested by the Examiner to specify the peptide RMFPNAPYL bound to an HLA-A2 molecule. Applicants believe that the rejection may properly be withdrawn.

Application No.: 10/581,773 Docket No.: 28646/42100

## Conclusion

The Examiner is invited to contact the undersigned should further discussion expedite allowance of the claims.

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Respectfully submitted,

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# **EXHIBIT A**

# Directed evolution of human T-cell receptors with picomolar affinities by phage display

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Peptides derived from almost all proteins, including disease-associated proteins, can be presented on the cell surface as peptide–human leukocyte antigen (pHLA) complexes. T cells specifically recognize pHLA with their clonally rearranged T-cell receptors (TCRs), whose natural affinities are limited to  $\sim 1\text{--}100~\mu\text{M}^1$ . Here we describe the display of ten different human TCRs on the surface of bacteriophage, stabilized by a nonnative interchain disulfide bond². We report the directed evolution of high-affinity TCRs specific for two different pHLAs: the human T-cell lymphotropic virus type 1 (HTLV-1) tax\_{11-19} peptide–HLA-A\*0201 complex³ and the NY-ESO-1\_{157-165} tumor-associated peptide antigen–HLA-A\*0201 complex⁴, with affinities of up to 2.5 nM and 26 pM, respectively, and we demonstrate their high specificity and sensitivity for targeting of cell-surface pHLAs.

TCRs and antibodies are the only antigen-recognition molecules of the adaptive immune system that are somatically rearranged to generate hugely diverse repertoires. In contrast to TCRs, antibodies have been routinely made in soluble form for many years<sup>5</sup>, can be displayed and selected in vitro<sup>6,7</sup>, and have been used for diagnostic and therapeutic targeting8. Display of TCRs on yeast cells has been used to select stabilized variants of the single-chain allo-reactive mouse 2C TCR9 and to increase its affinity by a reported ~100-fold to 9 nM10, but similar engineering of other TCRs has not been reported. The highaffinity, single-chain 2C TCR confers high peptide sensitivity and CD8 independence to transfected T cells<sup>11,12</sup>, but shows significant crossreactivity to self-peptide antigens<sup>13</sup>. Phage display of a single-chain mouse TCR has also been reported14, but high-affinity TCR generation was not achieved. These technologies have therefore not had the impact of monoclonal-antibody library display, and there remains a need for a robust technology that allows display and molecular evolution of TCRs.

Recently we have described a method for producing stable TCR molecules that involves introducing an interchain disulfide bond into the interface between the TCR constant domains and which is applicable to a wide range of different TCRs<sup>2</sup>. Such disulfide-stabilized TCRs can be expressed in a number of different systems (N. Pumphrey et al., unpublished data) and retain the authentic structure of a

heterodimeric  $\alpha\beta$ -TCR. The versatility of this approach and the unique stability of disulfide-linked TCRs led us to explore the possibility of displaying them on the surface of bacteriophage.

Phage display of TCRs was achieved by expressing them as disulfide-linked heterodimers<sup>2</sup> fused to the geneIII product on the surface of M13 phage. We confirmed expression of phage-surface TCRs by western blotting of reduced and nonreduced samples (data not shown). Where a specific pHLA was available, phage-displayed TCRs were also functionally assayed by testing for specific binding in an enzyme-linked immuno-sorbent assay (ELISA) using streptavidin-immobilized, biotin-tagged, peptide-HLA complexes and detecting bound phage using anti-geneIII protein antibodies (Table 1; TCR genes are referred to using ImMunoGeneTics (IMGT) nomenclature<sup>15</sup>).

We selected two phage-displayed TCRs for further engineering: the A6 TCR specific for the complex between HLA-A\*0201 and the HTLV-1 tax<sub>11-19</sub> peptide (LLFGYPVYV) (A2-tax)<sup>3,16</sup>, and the 1G4 TCR specific for the complex between HLA-A\*0201 and the NY-ESO-1<sub>157-165</sub> peptide (SLLMWITQC) (A2-NY-ESO)<sup>4</sup>. The A6 TCR was selected because of its high efficiency of folding, its relatively high affinity of 1.8 µM, and because its ligand-bound structure is known<sup>3</sup>; the 1G4 TCR was selected because it is specific for a wellvalidated cancer marker (NY-ESO-1). After confirmation of functional wild-type TCR display by ELISA, we generated libraries for both TCRs containing degenerate complementarity-determining regions (CDRs). We selected TCR-phage through several rounds, on the basis of binding to immobilized A2-tax or A2-NY-ESO respectively. Highaffinity TCRs selected from A6 TCR-phage libraries contained mutations only in the CDR3ß chains (Table 2a), but this was almost certainly due to minor technical problems with the A6 CDR3a libraries, which were resolved for generation of the 1G4 TCR-phage library, resulting in the selection of many high-affinity variants containing CDR3\alpha mutations from these libraries (Table 2b). Many selected clones also contained amber stop codons, which are partially suppressed to glutamine in the TG1 host and probably modulate the level of TCR expression, implying some toxicity of the TCR to the host. However, no significant differences in in vitro refolding of selected TCRs was observed (data not shown), indicating that selected TCRs have similar stabilities to the wild-type TCRs.

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Table 1 Summary of TCRs successfully displayed on phage

Name	TRAV	TRAJ	TRAJ TRBV		HLA	Peptide	Origin	ELISA	
A6	12-2	2-2 24 6-5 2-7 A2 LLFGYPVYV		LLFGYPVYV	HTLV-1 tax	+			
1G4	21	15	6-5	2-2	A2	SLLMWITQC	NY-ESO-1	+	
ILAK	22	40	6-5	1-1	A2	ILAKFLHWL	hTERT	+	
LC13	26-2	52	7.8	2-7	88	FLRGRAYGL	EBV EBNA3A	+	
JM22	27	15	19	2-7	A2	GILGFVFTL	Influenza MP	nd	
AH1.23	12-2	13	2-5	2-5	DR4	RHVVIDKSFGSPQIT Chlamydial hsp60		nd	
MM15	12-2	34	28	2-6	A2	AAGIGILTV	Melan A	+	
1A77	12-2	39	29-1	2-2	A2	AAGIGILTV	Melan A	nd	
CD1d	24	18	25-1	2-7	CD1d	α-galactyl-ceramide	Lipid	+	
GRb	28-2	53	4-1	2-5	B27	SRYWAIRTR	Influenza HA	nd	

The various variable and junctional gene segments of the TCRs are indicated (in IMGT nomenclature<sup>15</sup>) and the HLA-peptide ligand is also shown along with confirmation of functional activity by phage ELISA binding to pHLA, where this has been performed, nd, not determined, TRAV, TCR alpha variable segment; TRAJ, TCR alpha joining segment; TRBV, TCR beta variable segment; TRBJ, TCR beta joining segment; HLA, human leukocyte antigen specificity.

The selected TCR-displaying phage clones were ranked using an inhibition phage ELISA and a number of these TCRs were made as soluble disulfide-linked TCRs<sup>2</sup> (substituting glutamine for amber codons). Results from TCR-phage binding competition assays, along with affinity and kinetic binding data of soluble TCRs binding to peptide-HLA ligands (generated by Biacore surface plasmon resonance (SPR)), are shown in Tables 2a,b and Figure 1. We found that the improved binding of high-affinity TCRs selected by phage display is caused predominantly by slower off-rates compared with their wild-type parents.

The highest-affinity A6 TCR, obtained after one round of library generation and phage selection, was A6c134, with a  $K_{\rm d}$  of 2.5 nM and a half-life of binding at 25 °C of 52 min, compared with 7.0 s for A6wt (Fig. 1; left panel). The 1G4 TCR was subjected to an additional round of library construction and phage selection, enabling engineering of much higher affinity. This was generated by much more extensive mutation of TCR CDR loops and loop-flanking residues (see Table 2b), which was enabled by the large libraries that could be successfully displayed with the TCR phage display. The highest-affinity 1G4 TCR, after two rounds of library construction and phage selection, was 1G4c113, which yielded a Kd of 20 pM, and a half-life of binding at 25 °C of ~1,000 min, compared with 7.2 s for 1G4wt (Fig. 1; right panel). Because we observed rebinding artifacts when using high-density (>1,000 resonance units) chip surface antigen, we coated the chip surface with minimal antigen (  $\sim$  150 RU). At this level of antigen density, rebinding effects were not observed, that is, no changes in TCR dissociation were observed during the dissociation phase when 1 µM of soluble, nonbiotinylated A2-peptide complexes (free antigen) was injected over the chip surface.

To confirm the accuracy of the very high-affinity measurement for 1G4c113, we applied global curve fitting 17 to Biacore SPR data generated at varying TCR concentrations (see Supplementary Fig. 1 online). This yielded a very similar global equilibrium dissociation constant ( $K_d$ ) of 26.1 ( $\pm$ 0.5) pM ( $k_{on}=6.59\times10^5$  ( $\pm$ 1.25  $\times$  10<sup>4</sup>) s<sup>-1</sup>;  $k_{off}=1.72\times10^{-5}$  ( $\pm$ 6.40  $\times$  10<sup>-8</sup>) s<sup>-1</sup>) for the binding of 1G4c113 to A2-NY-ESO. Although the dissociation phases used for global fitting were measured for a relatively short time ( $\sim$ 1 h), they were confirmed by longer time-course experiments up to 24 h (data not shown). We further performed a competition Biacore SPR binding assay 18 using soluble, nonbiotinylated A2-NY-ESO protein. Although we were unable to obtain meaningful data using TCR concentrations below 1 nM, making an accurate measurement of  $K_I$  in the 20-pM range impossible, our  $K_I$  estimate of 20 pM (see Supplementary Fig. 2

online) confirms the order of magnitude of our affinity measurements determined by conventional direct binding methods.

To see whether the high-affinity mutations had affected TCR specificity, we tested high-affinity TCRs A6c134 and 1G4c113 for binding to broad panels of peptide-HLA complexes (see **Supplementary Table 1** online) using the highly sensitive Biacore SPR assay. This assay can detect interactions with very low affinities ( $K_{\rm d}s \ge 2$  mM)<sup>19</sup>. No crossreactive binding was detectable to any of the nonindex peptide-HLA complexes.

We tested the ability of A6c134 and 1G4c113 to detect varying amounts of peptide on the cell surfaces of human HLA-A\*0201\* cell lines. To enable high-affinity TCR detection by fluorescence-activated cell scanning

(FACS), we tetramerized the high-affinity TCRs using a biotin tag linked to R-phycoerythrin-labeled streptavidin in an analogous manner to the production of major histocompatibility complex tetramers<sup>20</sup>. We then stained peptide-pulsed cells and specifically detected A2-peptide complexes at pulse concentrations down to 10 nM peptide for A6c134 and 1 nM for 1G4c113 (Fig. 2a,b, respectively). This is comparable to the sensitivity of many T-cell clones, and is likely limited by the sensitivity of the FACS technology used to detect staining more than the affinity of the TCR reagents, as is the case for TCR tetramers with wild-type affinity<sup>21</sup>.

To examine cross-reactivity to a broader panel of endogenous peptides, we analyzed A6c134 and 1G4c113 tetramer binding to a nonpulsed HLA-A\*0201\* cell line. The background control was the same cell line pulsed at high concentration with an irrelevant peptide (10 μM influenza M1 peptide (GILGFVFTL) for A6c134 and 10 μM HTLV-1 tax<sub>11-19</sub> (LLFGYPVYV) for 1G4c113, to which A6c134 and 1G4c113 do not exhibit any binding, respectively, by Biacore assay), to chase off endogenous peptides. Despite the difference in endogenous peptide levels, no difference in TCR binding was observed between the two cell populations for either A6c134 or 1G4c113 (Fig. 2). Similar cell-targeting results were obtained using three more HLA-A\*0201\*

Table 2a Summary of output from A6 TCR phage display selection

Clone no.	CDR3ß	% inh. @ 200 nM	% inh. @ 20 nM	Kd (nM)
wt	GLAGGRPEQYF	32–36	29	1,800
1	GLVPGRPEQ*F	94	53.5	106
2	GL <b>VSA*</b> PEQYF	93.9	55.2	nd
83	GL*AGRPEQYF	27.4	-12.7	nd
85	GLAGGRP <b>D</b> Q*F	14.7	1.3	nd
86	GRSA*RPEQYF	21.9	-4.1	nd
87	GLAGGRPEA*F	19.7	1.9	nd
89	GLAGGRPED*F	67	23	420
111	GLAGGRPHP*F	Nd	58.6	1,500
125	GLAGGRP <b>DA*</b> F	Nd	21.2	nd
133	GLISA*PEQYF	Nd	nd	nd
134	GLMSA*PEQYF	95.5	60	2.5

Amino acid sequences are indicated in single-letter code with \* representing amber stop codons which are suppressed to glutamine in the TG1 host. % inh. indicates the percentage inhibition of the phage binding ELISA signal to a pHLA-coated surface when preincubated with the indicated concentration of soluble pHLA.  $\mathcal{K}_{\text{dS}}$  were obtained using Biacore SPR with soluble versions of the selected TCRs and A2-tax immobilized by a biotin tag to a streptavidin-coated CM-5 chip surface. Mutations shown in bold type. nd, not determined.

Table 2b Summary of output from 1G4 TCR phage display selection

Clone no.	CDR2α	CDR3α	CDR2ß	TCR\$F3	CDR3ß	% inh. @ 200 nM	% inh. @ 20 nM	(M <sup>-1</sup> s <sup>-1</sup> )	k₀ff (s <sup>-1</sup> )	K <sub>d</sub> (nM)
wr	IQSSQ	PTSGGSYIPT	GAGI	QGEVPNGYNVSRSTT	YVGN	nd	nd	$4 \times 10^4$	0.128	32000
1	IQSSQ	PTSGGSYIPT	GAG <b>T</b>	<b>R</b> GEVPNGYNVSRST <b>I</b>	Y <b>L</b> GN	26	nd	nd	nd	nd
2	IQSSQ	PTSGGSYIPT	gag <b>t</b>	QGEVPNGYNVSRSTT	NVGN	24	nd	nd	nd	nd
3	IQSSQ	PTSGGSYIPT	gag <b>t</b>	QGEVPNGYNVSRSTT	YVG <b>G</b>	24	nd	nd	nd	nd
6	IQSSQ	<b>HTSNGYFP</b> PT	GAGT	RGEVPNGYNVSRSTI	Y <b>L</b> GN	99	67	$3.7 \times 10^{4}$	$3.1 \times 10^{-4}$	8.4
8	IQSSQ	P <b>MT</b> GG <b>T</b> YIPT	GAG <b>T</b>	QGEVPNGYNVSRSTT	NVGN	96	64	nd	nd	nd
9	IQSSQ	P <b>LY</b> GG <b>T</b> YIPT	gag <b>t</b>	RGEVPNGYNVSRSTI	Y <b>L</b> GN	91	31	nd	nd	nd
9 10	IQSSQ	PMIGGTYIPT	GAG <b>T</b>	RGEVPNGYNVSRSTI	Y <b>L</b> GN	96	59	nd	nd	nd
11	IQSSQ	PLTGGTY1PT	gag <b>t</b>	<b>R</b> GEVPNGYNVSRST <b>I</b>	YLGN	94	48	nd	nd	nd
12	IQSSQ	PLTGGSYIPT	gag <b>t</b>	QGEVPNGYNVSRSTT	<b>N</b> VGN	79	28	nd	nd	nd
13	IQSSQ	PATGGTYIPT	GAG <b>T</b>	QGEVPNGYNVSRSTT	<b>N</b> VGN	86	32	nd	nd	nd
13 14	IQSSQ	PQTVPTY1PT	GAG <b>T</b>	RGEVPNGYNVSRSTI	Y <b>L</b> GN	79	nd	nd	nd	nd
15 33	IQSSQ	PMSGGTYIPT	GAG <b>T</b>	QGEVPNGYNVSRSTT	NVGN	63	nd	nd	nd	nd
33	IQSSQ	PYQSGHYMPT	GAG <b>T</b>	RGEVPNGYNVSRSTI	YLGN	99	96	$1.04 \times 10^{4}$	$1.90 \times 10^{-3}$	180
33A	IQSSQ	PYQSGHYMPT	gag <b>t</b>	QGEVPNGYNVSRST <b>I</b>	YLGN	nd	nd	$7.50 \times 10^{3}$	$1.90 \times 10^{-3}$	254
122	I <b>SPW</b> Q	PLLDGTYIPT	AIQT	QGEVPNGYNVSRSTI	YVG <b>d</b>	nđ	nd	$3.04 \times 10^{4}$	$2.97 \times 10^{-5}$	0.98
120	I <b>tpw</b> Q	PLLDGTYIPT	TQIA	QGEVPNGYNVSRSTI	YVGD	nd	nd	$5.97 \times 10^{4}$	$1.21 \times 10^{-5}$	0.2
112	ISPWQ	PLLDGTYIPT	TQIA	RGEVPNGYNVSRSTI	Y <b>L</b> GN	nd	nd	$3.28 \times 10^{5}$	$3.43 \times 10^{-5}$	0.1
119	ITPWQ	PLLDGTYIPT	TOLA	QGEVPNGYNVSRSTI	YVGN	nd	nd	$6.50 \times 10^{4}$	$6.59 \times 10^{-6}$	0.1
121	ISPWQ	P <b>LLD</b> G <b>T</b> YIPT	TQIA	QGEVPNGYNVSRSTI	YVGN	nd	nd	$1.15\times10^5$	$1.16 \times 10^{-5}$	0.1
107	ISPWQ	P <b>FT</b> GG <b>G</b> YIPT	TOIA	QGEVPNGYNVSRSTT	YVGN	nđ	nd	$4.26\times10^{5}$	$1.83 \times 10^{-5}$	0.04
113	ITPWO	PLLDGTYIPT	TOIA	<b>R</b> GEVPNGYNVSRST <b>I</b>	YLGN	nd	nd	$6.59 \times 10^{5}$	$1.72 \times 10^{-5}$	0.026

Amino acid sequences are indicated in single-letter code. % inh. indicates the percentage inhibition of the phage-binding ELISA signal to a pHLA-coated surface when preincubated with the indicated concentration of soluble pHLA.  $K_d$ s were obtained using Biacore SPR with soluble versions of the selected TCRs and A2-NY-ESO immobilized by a biotin tag to a streptavidin-coated CM-5 chip surface. Mutations shown in bold type. nd, not determined.

cell lines (data not shown), demonstrating that neither high-affinity TCR has any detectable cross-reactivity to endogenous peptide-HLA-A\*0201 complexes.

Successful in vivo targeting of cells with high-affinity TCRs must require high levels of specificity as the density of specific peptide-HLA on a natural cell surface is likely to be low (≤1,000 per cell<sup>22</sup>) compared with the background of endogenous peptide-HLA. The high-affinity TCRs we have generated show extremely high levels of specificity. Indeed, recent data show that A6c134 specificity is enhanced compared with its A6wt parent<sup>21</sup>, presumably because high affinity is selected on the basis of positive binding to the cognate target and will therefore only increase cross-reactivity in the very small number of instances in which elements of the cross-reactive pHLA are structurally identical to the cognate target. Given the huge diversity of different endogenous peptides presented on the surface of host cells by HLA molecules, it is likely that very high-affinity and high-specificity TCRs, such as the 26 pM affinity 1G4c113 TCR, will be preferable for effective therapeutic and diagnostic targeting.

High-affinity TCRs generated by phage display have a variety of potential biomedical applications: as targeting agents in cancers to deliver either a therapeutic 'payload' of a cytotoxic material or an immune stimulatory conjugate, or as inhibitory agents to block specific autoimmune T-cell activation. They should also be valuable diagnostic reagents enabling detection and measurement of specific peptide-HLA complexes, both on the surface of cells and in intracellular processing pathways, in those diseases for which peptide antigens have been identified. Studies designed to prove the principle of high-affinity TCR targeting in a variety of diseases are currently under way.

Recently, a number of peptide-HLA-specific monoclonal antibodies have been generated<sup>23</sup>, including some specific for A2-tax<sup>24,25</sup> and A2-NY-ESO<sup>26</sup>. However, their affinities are still relatively weak ( $\sim$ 25–30 nM for the A2-tax antibody and 60 nM for the A2-NY-ESO antibody) compared with the A6c134 and 1G4c113 high-affinity TCRs described here (2.5 nM and 26 pM, respectively), as the 2.5 nM A6c134 affinity could be further improved by selecting variants in the  $\alpha$ -chain. It is not yet clear whether monoclonal antibodies can be engineered further to have higher affinities and specificities for

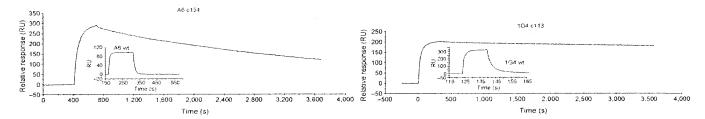


Figure 1 Biacore SPR binding of high-affinity TCRs compared to their parent wild-type TCRs. Left panel: A6c134 binding to HLA-A\*0201-tax $_{11-19}$  ( $K_d \approx 2.5$  nM,  $t_{1/2} \approx 52$  min). Insert: wild-type A6 soluble TCR binding for comparison. Right panel: 1G4c113 binding to HLA-A\*0201-NY-ES0- $t_{157-165}$  ( $K_d \approx 20$  pM,  $t_{1/2} \approx 1,000$  min). Insert: wild-type 1G4 soluble TCR binding for comparison.

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peptide-HLAs, but it is likely that the structural framework of TCRs makes them intrinsically suitable for high-affinity binding of their natural peptide-HLA ligands. Indeed, preliminary X-ray structural analysis indicates that high-affinity TCRs bind peptide-HLA in a very similar mode to their respective wild-type parents (P.J. Rizkallah et al., unpublished data).

Our generation of high-affinity TCRs using relatively few mutations in the wildtype sequence proves that the architecture of the TCR-peptide-HLA interaction is amenable to high-affinity binding. Furthermore, many different binding loop sequences give rise to higher-affinity TCRs (see Tables 2a,b). It is likely that at least some of these mutations, or others having similar effects on TCR affinity, are generated by the natural recombination events occurring in developing T cells. However, all naturally occurring TCRs isolated to date have relatively low affinities falling within quite a narrow range  $(\sim 1-100 \ \mu M)^{1}$ . Therefore, these results seem to support the assertion that T cells expressing high-affinity TCRs must be eliminated by negative selection. However, considering the high peptide specificity of the high-

affinity TCR mutants, it is difficult to believe that negative selection can occur only on the basis of interactions with self peptides. If this were the case, T cells with high-affinity TCRs, against, for example, viral peptides, should exist in the peripheral immune system. Rather, it seems likely that the T-cell repertoire that has passed through negative selection in the thymus can also be the subject of negative selection in the periphery<sup>27</sup> when encountering a ligand to which the TCR binds with a high affinity.

The low affinity of natural TCRs is one of the major hurdles for TCR-based therapeutic and diagnostic applications. These results demonstrate that the affinity of TCRs can be increased dramatically, by at least ~10<sup>6</sup>-fold, through directed evolution. The TCR phage display technology we have described here provides a generic approach for the affinity maturation of TCRs, as has been possible for antibodies for many years. Antibody phage display has also been used for the study of antibody-antigen interactions, structure-function relations and antibody folding and stability, and for the generation of novel human antibodies from naive libraries, thereby bypassing the immune system and its selection mechanisms. We expect that this technology will now enable all of these to be achieved in the future for the study and selection of TCRs.

#### **METHODS**

Design and construction of the vector for displaying A6 TCR on phage. To display TCR on phage, we designed a three-cistron phage display vector, pEX746, based on a pUC19 phagemid vector, pLitmus28 (NEB). Oligonucleotide primers were custom synthesized by MWG biotech. PAGE-purified library generation primers were custom synthesized by Sigma Genosys.

TCR  $\alpha$  chains containing the mutation (TRAC threonine 48  $\rightarrow$  cysteine<sup>15</sup>), and truncated immediately N-terminal of the natural membrane proximal cysteine, were expressed from a phagemid vector based upon pUC19. TCR  $\beta$ -chains, containing the mutation (TRBC serine 57  $\rightarrow$  cysteine<sup>15</sup>), and similarly truncated, were expressed, in *cis*, under the control of the same *lacZ* promoter, as in-frame fusions to the geneIII coat protein of M13 bacteriophage.

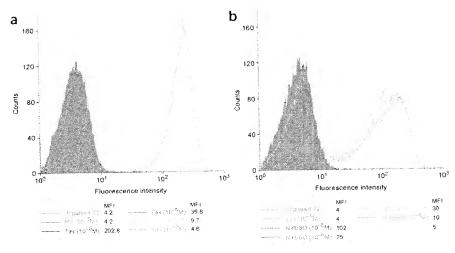


Figure 2 High-affinity TCR targeting of cell-surface pHLAs. HLA-A\*0201\* T2 cells were pulsed, with either HTLV-1  $tax_{11-19}$  (LLFGYPVYV), NY-ESO- $1_{157-165}$  (SLLMWITQC), or influenza M1 peptide (GILGFVFTL), at the peptide concentration indicated, or were incubated without peptide (unpulsed). Mean fluorescence intensity (MFI) values are as indicated. The A6c134 tetramer-PE reagent can detect cells pulsed at 10 nM ( $10^{-8}$  M) peptide and shows no cross-reactivity to the endogenous peptides presented by unpulsed cells when compared to high level pulsing with null M1 peptide. The 1G4c113 tetramer-PE reagent is at least tenfold more sensitive, detecting 1 nM ( $10^{-9}$  M) peptide, and also shows no cross-reactivity to endogenous peptides.

The pelB leader gene and the second Shine-Dalgarno (SD) sequence were synthesized with primers YOL2 (5'-CAATCCAGCGGCTGCCGTAGGCAATAG GTATTTCATTATGACTGTCTCCTTGAAATAG-3'), YOL3 (5'-CTACGGCAG CCGCTGGATTGTTATTACTCGCGGCCCAGCCGGCCATGGCCCAG-3') and (5'-GTTCTGCTCCACTTCCTTCTGGGCCATGGCCGGCTGGGCC YOL4 G-3'). The TCR A6  $\alpha$ -chain was amplified from a plasmid containing the A6 α-chain gene with primers YOL5 (5'-CAGAAGGAAGTGGAGCAGAAC-3') and (5'-CTTCTTAAAGAATTCTTAATTAACCTAGGTTATTAGGAACTTT CTGGGCTGGGGAAG-3'). The third SD and M13 geneIII leader gene were synthesized with primers YOL7 (5'-GTTAATTAAGAATTCTTTAAGAAGGAG ATATACATATGAAAAAATTATTATTCGCAATTC-3'), YOL8 (5'-CGCGCTG TGAGAATAGAAAGGAACAACTAAAGGAATTGCGAATAATAATTTTTCAT ATG-3') and YOL9 (5'-CTTTCTATTCTCACAGCGCGCAGGCTGGTGTCACT CAGAC-3'). The TCR A6 β-chain was amplified from a plasmid containing the A6 β-chain gene with primers YOL9 and YOL10 (5'-ATGATGTCTAGATGCGG CCGCGTCTGCTCTACCCCAGGCCTC-3'). M13 geneIII was amplified from M13 K07 with primers YOL11 (5'-GCATCTAGACATCATCACCATCACTA GACTGTTGAAAGTTGTTTAGCAAAAC-3') and YOL12 (5'-CTAGAGGGTAC CTTATTAAGACTCCTTATTACGCAGTATG-3'). SDII/pelB/α/SDIII/β gene was assembled with primers YOL1 (5'-TAATAATACGTATAATAATATTCTATTTCA AGGAGACAGTC-3') and YOL10 using overlapping PCR. GeneIII was first cloned into pLitmus28 at XbaI/KpnI, and then the assembled SDII/pelB/x/ SDIII/B was cut with SnaBI/XbaI and cloned into the modified pLitmus28, containing geneIII.

After cloning, the constructs were screened by a phage ELISA. Clones which showed signal in the ELISA were sequenced with primers YOL 13 (5'-TCACAC AGGAAACAGCTATG-3'), YOL 17 (5'-ATTCGCAATTCCTTTAGTTG-3'), YOL 18 (5'CAACTAAAGGAATTGCGAAT-3'), YOL19 (5'-ACCAGAGCAGTA CTTCGGGC-3') and YOL22 (5'-CATTTTCAGGGATAGCAAGC-3'). The final construct pEX746:A6 contains the  $\alpha$ -chain of A6 TCR fused to the 3' end of the pelB leader, and the  $\beta$ -chain of the A6 TCR to the 3' of the M13 geneIII leader and 5' of the M13 geneIII.

Construction of CDR3 libraries. The central regions of A6 TCR CDR3s were targeted for introducing mutations. The mutations in A6 TCR CDR3ß were introduced by using PCR with the following forward primers: YOL59

(5'-TGTGCCTCGAGGNNKNNKNNKNNKNNKNNKCGACCAGAGCAGTA CTTCG-3'), YOL60 (5'-TGTGCCTCGAGGCCGNNKNNKNNKNNKNNKNN KCCAGAGCAGTACTTCGGGC-3'), YOL61 (TGTGCCTCGAGGCCGNNKNN KNNKNNKNNKNNKCGACCAGAGCAGTACTTCG), YOL62 (5'-TGTGCCT CGAGGCCGNNKNNKNNKNNKNNKNNKGGAGGGCGACCAGAGCAG-3'), YOL63 (5'-TGTGCCTCGAGGCCGGGANNKNNKNNKNNKNNKNNKKNNKGGG CGACCAGAGCAGTAC-3'), YOL68 (5'-TGTGCCTCGAGGNNKNNKNNKN NKNNKNNKCCAGAGCAGTACTTCGGGC-3'), YOL69 (TGTGCCTCGAG GNNKNNKNNKNNKNNKNNKGAGCAGTACTTCGGGCCG), YOL70 (5'-TG TGCCTCGAGGNNKNNKNNKNNKNNKNNKCAGTACTTCGGGCCGGGC-3'), YOL71 (5'-TGTGCCTCGAGGCCGNNKNNKNNKNNKGGGCGACCAGA GCAGTACTTCG-3'). Mutations in A6 TCR CDR3\alpha were introduced by using PCR with the following reverse primers: YOL58 (5'-AAACTGAAGCTT MNNMNNMNNMNNMNNTGTAACGGCACAGAGGTAG-3'), YOL72 (5'-A AACTGAAGCTIMNNMNNGCTGTCMNNTGTAACGGCACAGAGGTAG-3'), YOL73 (5'-AAACTGAAGCTTMNNMNNMNNGCTGTCMNNTGTAACGGC ACAGAGGTAG-3') and YOL74 (5'-AAACTGAAGCTTMNNMNNGCTGTCM NNAACGGCACAGAGGTAG-3'). Restriction sites within the primers are underlined for clarity.

The mutated fragments were cloned into pEX746:A6 at Xhol/Norl sites for the CDR3 $\beta$  libraries and NcoI/HindIII sites for the CDR3 $\alpha$  libraries. After ligation, the DNA was cleaned with a Qiagen DNA purification kit and concentrated with a Microcon YM-100 column (Millipore). We electroporated 40  $\mu$ l of TG1 cells with 100 to 200 ng of the DNA for making a 3  $\times$  106 library. CDR3 $\beta$  libraries and CDR3 $\alpha$  libraries were pooled separately.

To rescue phagemid particles from the mixed CDR3 libraries, we inoculated 500 ml of DYT (10 g/L yeast extract, 16 g/L tryptone, 5 g/L NaCl, pH 7.0) medium containing 100 µg/ml of ampicillin and 2% glucose with 109 bacteria taken from a frozen library glycerol stock. The culture was grown at 37 °C with shaking to OD<sub>600</sub> = 0.3 to 0.5, 200 ml culture were added with 4  $\times$  10<sup>11</sup> colony-forming units of M13 K07 (Invitrogen), and incubated in a 37 °C water bath for 30 min. The cells were pelleted by centrifugation at 3,000  $\times$  g for 10 min, resuspended in 200 ml of DYT containing 100 µg/ml of ampicillin and 25 µg/ml of kanamycin and grown over 36 h at 25 °C. Phage particles were purified and concentrated by two polyethylene glycol precipitations and resuspended in 2–4 ml of PBS supplemented with 3 mM EDTA.

Selection of CDR3α and CDR3β libraries. High-affinity TCRs were selected by panning the phage libraries on immobilized HLA-A2-tax. Briefly, the biotinylated A2-tax complexes were captured in a Nunc immunotube coated with streptavidin (10 µg/ml in PBS). To isolate high-affinity TCRs, we decreased the concentration of biotinylated A2-tax tenfold for each round of panning. The TCR phage were allowed to bind the immobilized A2-tax for at least 2 h. Nonbinding phage were removed by sequential washing (10-20 washes of PBS + 0.1% Tween20 and 10 to 20 washes of PBS). Binding phage were then eluted from the immunotubes by adding 1 ml of 100 mM triethylamine, incubating for 10 min at room temperature (20-25 °C), transferring the solution to a new tube containing 0.3 ml of 1 M Tris-HCl, pH 7.0. Half of the eluted phage solution was used to infect 10 ml of Escherichia coli TG1 grown to OD600 = 0.3-0.5, and supplemented with 5 mM Mg<sup>2+</sup>. After incubation for 30 min in a water bath, bacteria were plated on TYE (10 g/L tryptone, 5 g/L yeast extract, 8 g/L NaCl, 15 g/L Bacto-Agar) plates containing 100 μg/ml ampicillin and 2% glucose and grown overnight at 30 °C.

Screening for high-affinity binders. After three or four rounds of selection, single ampicillin-resistant colonies were used to inoculate 100  $\mu l$  of DYT containing 100  $\mu g/ml$  of ampicillin and 2% glucose in a 96-well microtiter plate. The plate was incubated in a shaker at 37 °C for 1.5–2 h, or until the culture just became cloudy. We added 108 plaque forming units M13 K07 to each culture and they were incubated at 37 °C for 1 h. The cells were pelleted by centrifugation at 3,200 r.p.m. at 15 °C for 10 min and the supernatant was removed. The cells were resuspended in 150  $\mu l$  of DYT containing 100  $\mu g/ml$  of ampicillin and 25  $\mu g/ml$  of kanamycin. The culture was incubated at 25 °C with shaking for 36 h. Phage and cells were separated by centrifugation at 3,200 r.p.m. at 4 °C for 15 min, and the phage-containing supernatant was used for screening high-affinity binders.

To screen binders, we captured biotinylated A2-tax on 96-well Nunc MaxiSorp plates coated with streptavidin. The nonspecific binding sites on

the wells were blocked by adding 3% skimmed milk in PBS + 3 mM EDTA for 2 h at room temperature (20–25 °C). Phage supernatant was mixed with the blocking buffer at 1:1 ratio and incubated at room temperature (20–25 °C) for 30 min before adding to the 96-well plate. Binding of TCR displaying phage to A2-tax was detected with a rabbit anti-fd antibody (Sigma) followed by goat anti-rabbit IgG alkaline phosphatase conjugated secondary antibody.

The affinities of selected binders were ranked with inhibitive phage ELISA. Briefly, the phage were incubated with 20 or 200 nM nonbiotinylated A2-tax at room temperature (20–25 °C) for 1 h before adding to the wells coated with biotinylated A2-tax –streptavidin complexes. Binding phage was then detected as above, and the percentage of ELISA signal reduction was used to rank the affinity.

Affinity maturation of 1G4. Overlapping extension PCR was used to introduce random mutations in the CDR3s of 1G4 TCR  $\alpha$ - and  $\beta$ -gene segments within pEX746:1G4. For construction of the  $\beta$ -library, the first two PCR fragments were generated with primers of YOL17 and YOL184 (5'-GCTGGCACAGAAGT ACACAG-3'), YOL185 (5'-ACCGGGGAGCTGTTTTTTG-3') and YOL22 and template pEX746:1G4. The assembly PCR was done by using the first two PCR fragments and YOL192 (5'-GTACTTCTGTGCCAGCNNKNNKNNKNNKNNKNNKNNKNCGGGGGAGCTGTTTTTTG-3') as templates and YOL17 and YOL22 as primers, and then cloned back to the vector pEX746:1G4. The methods for selection of high-affinity binders were similar to those described above.

The high-affinity binders generated from the β-chain library were used as templates for making the CDR3α libraries. For the construction of the α-library, the first two PCR fragments were generated with primers of YOL13 and YOL188 (5'-GGGCCTCACAGCACAGAG-3') and YOL189 (5'-TACATACCTA CATTTGGAAG-3') and YOL18 and template pEX746:1G4. The assembly PCR was similar as that for the β-library but with primers of YOL198 (5'-CTGTG CTGTGAGGCCCNNKNNKNNKNNKNNKTACATACCTACATTTG-3') and YOL13 and YOL18. The α-library was cloned back to pEX746:1G4 containing mutant high-affinity β-chain. Further mutations were introduced into α-and β-CDR2s with primers Jon342 (5'-GTCTCACATCTCTGTTGCTTATTNNKN NKNNKCAGAGAGAGCAAACAAGTGGAAG-3') for the α-chain and Jon344 (5'-GCTGAGGCTGATTCATTACTCANNKNNKNNKNNKATCACTGACCAA GGAGAAGTCC-3') for the β-chain. The methods for selection of high-affinity binders were similar to those used previously.

Soluble protein production and affinity measurement. Soluble high-affinity TCRs were produced as disulfide-linked heterodimeric TCRs, as previously described2. Amber stop codons were replaced with arginine codons for TCR expression in the nonsuppressor strain E. coli BL-21. Biotinylated and nonbiotinylated peptide-HLA complexes were prepared as previously described<sup>28</sup>. We conducted Biacore SPR analysis of variant TCR binding to biotin-tagged A2-tax, immobilized to a streptavidin-coated flow cell. Equilibrium binding constants for TCRs exhibiting slow off-rates were calculated from kinetic data  $(K_{\rm d}=k_{\rm off}/k_{\rm on})$ . Kinetic binding constants were calculated using Biacore BIAevaluation software, using all available data except for points up to  $\sim$  25 s after the injection starts and points within  $\sim$  10 s of injection stops. Dissociation phase data were collected for at least 45 min to allow accurate determination of the extremely slow koff values. Further global curve fitting<sup>17</sup> was applied to data generated at various TCR concentrations for the IG4c113 TCR, using BIAevaluation software. We ensured that the data did not contain rebinding artifacts by checking that bound high-affinity TCR was not displaced by competing nonbiotinylated peptide-HLA complexes injected over the chip surface (data not shown).

Competition Biacore assays were done by incubating 1 nM 1G4c113 TCR with varying concentrations of soluble, nonbiotinylated A2-NY-ESO at room temperature (20–25 °C) for 30 min before binding measurement on a Biacore chip coated with 1,000 RU of A2-NY-ESO, as described previously<sup>18</sup>. The lowest concentration at which we could obtain reasonable SPR binding data was 1 nM TCR. We obtained  $k_{\rm obs}$  values using BIAevaluation software and plotted them against HLA concentration (determined by OD<sub>280</sub> and an extinction coefficient calculated using Vector NTI software). Least squares fitting to the equation  $k_{\rm obs} = (k_{\rm obs}^{\, 0}/[{\rm TCR}]) \times \{([{\rm TCR}] - (1/2 \times ([{\rm TCR}] + {\rm HLA}] + K_{\rm I})) + \sqrt{((1/2 \times ([{\rm TCR}] + {\rm HLA}] + K_{\rm I}))^2 - [{\rm HLA}] \times [{\rm TCR}])}$  was performed using Origin 6.0 software (Microcal).

TCR tetramerization and cell staining. TCRs were tetramerized by engineering a C-terminal biotinylation tag onto the  $\beta$ -chain and tetramerizing with fluorescently labeled streptavidin²¹. HLA-A\*0201⁺ T2 cells were pulsed, with either HTLV-1 tax\_{11-19} (LLFGYPVYV), NY-ESO-1\_{157-165} (SLLMWITQC), or influenza M1 peptide (GlLGFVFTL), at the peptide concentration indicated, or were incubated without peptide (unpulsed), for 90 minutes at 37 °C. After a wash step in PBS, cells were incubated with A6c134 tetramer–PE (10 µg/ml), or IG4c113 tetramer–PE (10 µg/ml), for 10 min at room temperature (20–25 °C). After incubation, cells were washed and TCR tetramer–PE binding was examined by flow cytometry using a FACS Vantage SE (BD Biosciences) and data were analyzed using CellQuest software (BD Biosciences).

Note: Supplementary information is available on the Nature Biotechnology website.

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#### COMPETING INTERESTS STATEMENT

The authors declare competing financial interests (see the Nature Biotechnology website for details).

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